

COUNCIL – 210TH SESSION

Items considered:

- **Report on the preliminary performance of the Ancillary Revenue Generation Fund (ARGF)**

The Council considered an oral report presented by the Secretary General and a report thereon presented by the Finance Committee. The report on the preliminary performance of the ARGF showed a significant financial surplus for the reporting period.

- **Proposal on Best Practices for the Preparation of the Triennial Budget**

The Council considered C-WP/14592 outlining a proposal on best practices for the ICAO budget preparation process and a report thereon presented by the Finance Committee. Council approved the methodology presented as guidance for the preparation of the Organization's triennial budget.

- **ICAO Corporate Key Performance Indicators (KPIs)**

The Council considered C-WP-14536 which presented the proposed Corporate KPIs along with corresponding targets. 27 Corporate KPIs were outlined along with their respective targets.

- **ICAO Business Plan for 2017-2019**

The Council considered C-WP/14551, providing information on the changes to the ICAO Business Plan 2017-2019 and recalling that it would be regularly adjusted to meet the changing needs of the Member States and the global aviation community.

- **Structure of the ICAO Secretariat**

The Council considered C-WP/14550, recalling the establishment of the Strategic Planning, Coordination and Partnerships Office (SPCP) and made a number of considerations pertaining to further arrangements with regard to the SPCP (including one new D-1 position of the Head of SPCP).

- **Review of the ICAO Policy on Interactions with Third Parties**

Council considered C-WP/14552 to which was appended a proposed revised text of the ICAO *Policy on Interactions with Third Parties*. The President proposed that the Council approve the suggested amendments to the ICAO Policy re-titled *Policy on Interactions with External Parties* as presented in the appendix and the proposal having received the support of the majority of Representatives, it was so agreed.

- **Aviation security risk overview**

The Council considered an oral report by the Secretary General outlining the acts of unlawful interference with international civil aviation recorded in 2016 and summarizing the broader aviation security situation worldwide.

- **Review of Assembly resolutions and decisions – *Security and facilitation***

The Council considered C-WP/14542 by the Secretary General and a joint oral report thereon by the Committee on Unlawful Interference (UIC) and the Air Transport Committee (ATC). The Council endorsed the proposed actions outlined in the Appendix to C-WP/14542.

- **Report on the Comprehensive Regional Implementation Plan for Aviation Security and Facilitation in Africa (AFI SEFCAL Plan)**

The Council considered C-WP/14544 in which the Secretary General reported on the significant progress made in the ongoing implementation of the AFI SEFCAL Plan, and the joint UIC/ATC oral report thereon in which the Committees expressed their concern regarding the difficulties being encountered in attracting support for assistance projected under the AFI SEFCAL Plan. It was noted that States may voluntarily disclose their own USAP-CMA audit results to third parties.

- **United Nations Security Council Resolution 2309 (2016) on countering terrorist threats to civil aviation**

The Council considered C-WP/14543 in which the Secretary General noted the relevant ICAO actions taken and those proposed in response to the Resolution, as well as a joint UIC/ATC oral report thereon. The Committee made some recommendations with regards to Operative Clauses 1, 2 and 4, and took note of Operative Clauses 10 and 11. The Council then endorsed the ICAO actions taken and those proposed in response to the Resolution subject to the abovementioned amendments.

- **Secretary General's Mid-term Report to the Council**

The Council considered C-WP/14549 in which the Secretary General presented a comprehensive mid-term report highlighting the results of the leadership initiatives she had undertaken during her first 18 months in office, the Secretariat's major achievements, the main challenges facing the Organization for the remainder of the Secretary General's mandate and her plans to address them.

- **Review of the structure and content of the Secretary General's sessional progress reports**

The Council considered an oral report by the Chairperson of the Working Group on Governance and Efficiency (WGGE) on the outcome of the WGGE's review of the structure and content of the Secretary General's sessional progress reports. The WGGE recommended a number of guidelines identified by the Sub-group on Sessional Progress Reports of the Secretary General (SSR) which the Secretariat should use as a basis for the information provided in such reports. The Council endorsed the aforesaid guidelines.

- **Report on Technical Cooperation Programme Development**

The Council considered an oral report by the Secretary General on the preliminary financial and operational performance results of the Technical Cooperation Programme and of the Administrative and Operational Services Cost Fund (AOSC), as well as a joint oral report thereon by the Finance Committee and the Technical Cooperation Committee. The Programme's positive performance and important

contributions were noted in addition to the fact that there has been no increase in the administrative overhead *inter alia*.

- **ICAO Vendor Sanction Policy**

The Council considered C-WP/14553 by the Secretary General presenting a draft ICAO Vendor Sanction Policy as recommended by the Joint Inspection Unit (JIU) and an oral report thereon by the Technical Cooperation Committee (TCC). The proposed Policy was based on the model Policy Framework (MPF) for Vendor eligibility and it was noted that it was an administrative and not judicial in nature. The Legal Affairs Bureau made some clarifications as to internal and external appeals of the Secretary General's decision. With regard to the TCC's oral report, the Council agreed to the Committee's recommendations and provisionally approved the draft ICAO Vendor Sanction Policy subject to the amendments suggested.

- **Annual Report to Council on Regional Offices' activities during 2016 and operating plans for 2017**

The Council considered C-WP/14570, which presented the Regional Offices' activities for the year 2016 and the operating plans for 2017. The Council also had for consideration an oral report thereon from the Implementation, Strategy and Planning Group (ISPG) and an informal briefing was provided by Regional Directors. The Council noted with satisfaction the Regional Offices' achievements of 2016 and the harmonized operating plans of 2017, although it recalled its last year decision that these reports on activities in the Regional Offices should be presented in the form of a working paper with actions arising for approval. Since no action was being proposed in C-WP/14570, the Council took note of it as an information paper.

- **Report of ANC – Adoption of Amendment 174 to Annex 1**

The Council considered C-WP/14560, which presented a proposal of the Air Navigation Commission (ANC) for Amendment 174 to Annex 1 – *Personnel Licensing*. The amendment concerned a proposal developed by the Secretariat to render compliant a practice used by some States whereby pilot licenses issued by one State are automatically validated by the other States party to a formal agreement under common licensing regulations. ANC considered that an effective date of July 2017 and a proposed applicability date of 9 November 2017 would be suitable for the implementation of these provisions. The Council by 35 votes in favour, none against and no abstentions (one Representative being absent) adopted Amendment 174 to Annex 1.

- **Report of ANC – Adoption of Amendment 42 to Annex 6, Part I**

The Council considered C-WP/14561, which presented a proposal of the ANC for Amendment 47 to Annex 6 – *Operation of Aircraft, Part I – International Commercial Air Transport – Aeroplanes*. The amendment proposal, developed by the Secretariat with the assistance of the Normal Aircraft Tracking Initiative/2 (NATII/2), introduced an additional Standard and Recommended Practice (SARP) to facilitate

the practical implementation of normal tracking provisions. ANC considered that an effective date of July 2017 and a proposed applicability date of 8 November 2018 would be suitable for the implementation of these provisions. The Council by 36 votes in favour, none against and no abstentions adopted Amendment 42 to Annex 6, Part I.

- **Report of ANC – Recommendations to enhance participation by Member States in the Planning and Implementation Regional Groups (PIRGs) and Regional Aviation Safety Groups (RASGs)**

The Council considered C-WP/14563, which included recommendations on the enhancement of participation by Member States in the work of the PIRGs and RASGs. The Council emphasized that the role of PIRGs and RASGs was to provide actionable recommendations to the Council, especially in relation to the Global Aviation Safety Plan (GASP) and Global Air Navigation Plan (GANP). The Council agreed that PIRGs and RASGs should be allowed the flexibility to use the most effective and efficient organization structure and meeting modalities that best suit the characteristics of each region; that PIRG and RASG meeting invitations should be published well in advance to facilitate the planning by States for attendance and by the relevant Regional Office for convening of the meeting; and that there should be ongoing efforts to harmonize the conclusions and meeting outcomes of PIRGs and at the same time both PIRGs and RASGs should inform the Directors General of Civil Aviation (DGCA) and relevant Civil Aviation Commission/Conference (CAC) of their results. The Council also requested the Secretary General to highlight to States the importance and benefit of their participation in the work of PIRGs and RASGs.

- **Report on the ICAO Conflict Zone Information Repository (CZIR)**

The Council reviewed C-WP/14558 Restricted, in which the Secretary General outlined specific proposals for the future of the ICAO CZIR and for follow-up work by the Organization which had been coordinated with the Repository Review Group (RRG). It was recalled that, in the previous session, the RRG had reported to the Council that significant progress had been made by States and industry in developing new innovative systems, separate from the CZIR, which served to rapidly share information concerning the risks associated with operations of civil aircraft over or near conflict zones. Furthermore, since July 2016, the number of new postings in the CZIR had decreased substantially.

In light of these developments, the Council had requested a working paper to be presented during the current session in which it would be invited to consider whether or not to continue with the CZIR. According to the study conducted by the Secretariat presented in C-WP/14558, it was confirmed that, as of January 2017, the amount of information posted in the CZIR had drastically declined, and the usage and access of the Repository had equally declined.

Therefore, the Council noted that the ICAO CZIR has ceased to function as an effective means to convey risk information and directed the Secretariat to discontinue the ICAO CZIR as a means to gather and disseminate specific risk-based information concerning operations over or near conflict zones. The Council provisionally approved the modification of the ICAO CZIR into a library of links to States'

websites where such risk-based information can be made available and requested the Secretary General to issue a State letter informing States of the Council's above decisions and encouraging them to provide, on a voluntary basis, the links to their own websites where such risk-based information resided, for posting in the envisaged ICAO library.

- **Progress report on the implementation of the ICAO Universal Safety Oversight Audit Programme Continuous Monitoring Approach (USOAP CMA)**

The Council considered information paper C-WP/14562, in which the Secretary General presented a progress report on the implementation and activities of the USOAP CMA, highlighting the milestones achieved, activities conducted and improvements made in 2016, as well as outlining the activities and developments planned for 2017 and an oral report on the paper by the ANC, in which it commended the Secretariat for: the additional USOAP CMA activities undertaken in 2016 as a result of the maturity of the Programme, the efficiencies gained in its implementation, including enhanced coordination with ICAO Regional Offices; the States' overall level of satisfaction with USOAP CMA activities (92 per cent in 2016); and improvements to the interactive tools to support the Programme, in particular, the USOAP CMA Online Framework (OLF).

During the discussion of the item, the Council noted with concern, the insufficient number of qualified USOAP CMA auditors and ICAO Coordinated Validation Mission (ICVM) subject matter experts (SMEs) currently on the roster (99) and underscored the need to continue to encourage States and recognized organizations to nominate experts for secondment to ICAO as auditors and ICVM SMEs, on a long- or short-term basis, in support of the Programme. Acknowledging, however, that the said shortage was reflective of the overall deficit of technical competence available to States and the industry, the Council requested that the Secretariat consider the provision of additional training for potential auditors and ICVM SMEs to increase the pool of qualified aviation safety experts on which ICAO could draw for the conduct of USOAP CMA activities.

- **Review of Assembly resolutions and decisions – *Safety and Air navigation capacity and efficiency***

The Council considered C-WP/14559, in which the Secretary General proposed actions to implement Assembly Resolutions as well as relevant Assembly decisions in the fields of safety and air navigation capacity and efficiency and an oral report by the ANC in which it set forth numerous recommendations to amend the description and/or time-frame/target date for implementation of certain of the proposed actions, and presented some recommendations for additional actions. The Council endorsed the proposed actions outlined in the Appendix to C-WP/14559, as amended pursuant to the ANC's recommendations and Representatives' proposals.

- **ANC Work Programme for the 205th Session**

The Council reviewed and approved C-WP/14564, in which the ANC presented the proposed Work Programme for its 205th Session and its planned items for its 206th and 207th Sessions for information purposes.

- **Review of procedures related to the nomination process and selection criteria of the membership of the Air Navigation Commission (ANC)**

This subject was considered on the basis of an oral report presented by the Working Group on Governance and Efficiency (WGGE) pursuant to the Council's earlier request. The WGGE had held informal consultations with the ANC Planning Team regarding the ANC nomination process and selection criteria. The WGGE concluded that Attachment B to State letter S 5/3-16/47 dated 17 June 2016 on the recommended qualifications of nominated candidates constituted an updated reflection of concerns expressed by the Council and its Members on criteria to elect ANC Members based on professional qualifications (education, knowledge, abilities, experience) including as well the advisability of broad geographical representation, spread of technical disciplines, and equal gender representation. The WGGE had also agreed that the recent process to elect ANC Members for the term 2016-2019 had taken place in a smooth and constructive atmosphere, providing a ground to express that the current procedures related to the nomination process for ANC Members seemed to be appropriate.

In taking the action recommended by the WGGE, the Council decided to maintain the current procedures related to the nomination process and selection criteria of the membership of the ANC, while noting that those aspects could be revisited upon entry into force of the 2016 Protocol of Amendment relating to Article 56 of the Chicago Convention whereby the size of the Commission would be increased from 19 to 21 Members.

- **Recommendations of the Joint Support Committee related to items under the Danish and Icelandic Joint Financing Agreements reviewed during the 210th Session**

The Council considered C-WP/14566, in which the JSC presented the outcome of its review, during the current (210th) session, of reference documents JS-WP/2042 (*Interest on the Danish and Icelandic Reserve Funds*) (information paper) and JS-WP/2043 (*Radome for Sornfelli Radar Located at the Faroe Islands*). The Council took the action proposed in the executive summary of JS-WP/2043, as recommended by the JSC and approved the proposed project to establish a new radome to protect the envisaged new radar antenna system on the Sornfelli radar site located at the Faroe Islands.

- **Mechanism for high-level meetings to inform Assembly sessions and consequential amendments to applicable Directives and Rules of Procedure**

The Council considered C-WP/14565, in which the Secretary General, pursuant to its earlier requests, presented the results of the review of the Organization's practice in convening high-level meetings and how they informed Assembly sessions, and of other aspects, such as how regional meetings can feed high-level meetings and potentially provide opportunities for efficiency gains and an oral report thereon

by the WGGE. The Council noted that while the term “high-level” may be used in the title of ICAO meetings and events, it does not refer to a specific category of meeting and agreed, inter alia, that future high-level safety conferences should be considered as a type of Divisional-type air navigation meeting that, consequently, the *Directives to Divisional-type Air Navigation Meetings and Rules of Procedure for their Conduct* (Doc 8143-AN/873/3) shall apply.

- **Operating Plan for the Technical Cooperation Bureau for the period 2017-2019**

The Council considered C-WP/14554, in which the Secretary General presented a revised TCB Operating Plan for 2017-2019, as well as additional information on the Technical Cooperation Programme’s financial performance and forecast for the next three years, the Council’s reporting requirements for technical cooperation, and the status of implementation of TCB project/key activities and deliverables since the inception of TCB’s first Plan in 2010; and an oral report thereon by the TCC. The said Operating Plan reflected the views of the TCC Ad Hoc Working Group which had been previously established to review its format and content requirements in coordination with the Director of TCB. The Council approved the direction and approach adopted for the TCB Operating Plan for 2017-2019 as presented in the Appendix to C-WP/14554, and requested the Secretariat to correct the identified inconsistencies therein and to proceed with the suggested improvements for the TCB Operating Plan for 2018-2020, to be presented to the Council for approval in the 212th Session in October/November 2017.

- **Report on a feasibility study on the establishment of a ceiling for the AOSC Accumulated Reserve Fund and the potential use of the accumulated surplus**

The Council considered C-WP/14555, in which the Secretary General presented the results of a feasibility study undertaken at its request on the establishment of a ceiling for the AOSC Accumulated Reserve Fund and the potential use of the excess surplus to assist developing States participating in the Technical Cooperation Programme, including the associated risks, and proposed an alternative solution; and a joint TCC/FIC oral report thereon. The Council took the action recommended by the Committees and requested the Secretary General to approve the one-time transfer of CAD 100 000 from the TCB Efficiency and Effectiveness Fund to be earmarked specifically for the IPAV, for purposes of assisting developing States participating in the Technical Cooperation Program for the solution of safety and security findings derived from audits carried out under ICAO’s Universal Safety Oversight Audit Programme Continuous Monitoring Approach (USOAP CMA) and Universal Security Audit Programme Continuous Monitoring Approach (USAP-CMA)

- **Review of Assembly resolutions and decisions – *Policy and administrative subjects***

The Council endorsed C-WP/14556 presented by the Secretary General, which set forth proposed actions for consideration by the Council, the FIC, the HRC, the TCC and the WGGE to implement the resolutions and decisions of the 39th Session of the Assembly which emanated from policy and administrative subjects deliberated upon by the Executive Committee and the Administrative Commission.

- **Review of Assembly resolutions and decisions – *Economic development of air transport***

The Council endorsed C-WP/14545, in which the Secretary General proposed actions to implement Assembly Resolution A39-15 (*Consolidated statement of continuing ICAO policies in the air transport field*), as well as other resolutions and decisions relating to the economic development of air transport).

- **Voluntary Air Transport Fund (TRAF)**

Based on an oral report by the Air Transport Committee (ATC), which stated that since the Fund's establishment in 2014, despite promotion by the Organization to Member States and other donors, only a small amount of contributions had thus far been received, the Council reiterated the ATC's appeal to all Member States to make voluntary contributions to the TRAF.

- **Review of Assembly resolutions and decisions – *Environmental protection***

The Council considered C-WP/14546, in which the Secretary General proposed actions to implement Assembly Resolutions A39-1, A39-2 and A39-3, which, taken together, constitute the consolidated statement of continuing ICAO policies and practices related to environmental protection. The Council endorsed the proposed actions outlined in Appendices A, B and C to C-WP/14546, with some amendments, which it confirmed would effectively respond to the implementation of Assembly Resolutions A39-1, A39-2 and A39-3, respectively.

- **Adoption of Amendment 12 to Annex 16, Volume I**

The Council considered C-WP/14567 which presented a proposal by the Air Navigation Commission (ANC) for Amendment 12 to Annex 16 – Environmental Protection, Volume I – Aircraft Noise. An effective date of July 2017 and proposed applicability date of 1 January 2018 were noted. The Council adopted, as Amendment 12 to Annex 16, Volume I, the amendments to the Definitions and the SARPs as contained in Appendix C to C-WP/14567.

- **Adoption of Amendment 9 to Annex 16, Volume II**

The Council considered C-WP/14568 which presented a proposal by the ANC for Amendment 9 to Annex 16 – Environmental Protection, Volume II – Aircraft Engine Emissions. An effective date of July 2017 and a proposed applicability date of 1 January 2018 were noted, the exception of the proposed nvPM Standard. The Council adopted, as Amendment 9 to Annex 16, Volume II, the amendments to the Definitions and the SARPs as contained in Appendix C to C-WP/14568.

- **Adoption of the first edition of Annex 16, Volume III**

The Council reviewed C-WP/14569 whereby the ANC presented a proposal for the first edition of Volume III – Aeroplane CO₂ Emissions of Annex 16 – Environmental Protection relating to the introduction of a new CO₂ emissions certification Standard for aeroplane. An effective date of July 2017 and a proposed

applicability date of 1 January 2018 were noted, with the exception of a number of aeroplanes for which where were proposed embedded applicability dates. The Council adopted as the first edition of Volume III of Annex 16, the Definitions and SARPs as contained in Appendix C to C-WP/14569.

- **Environmental protection – Recent developments**

The Council considered C-WP/14547 reporting on recent developments within ICAO in the field of aviation and the environment. The Council also elaborated on the Organization's outreach activities, and provided details regarding ICAO's cooperation with other United Nations (UN) bodies.

- **Update on work on a Global Market-based Measure (GMBM) Scheme**

The Council considered C-WP/14548 presented by the Secretary General, as well as an oral report by the Advisory Group on Carbon Offsetting and Reduction Scheme for International Aviation (AGC). The paper provided details on the need for additional resources for the preparation of CORSIA implementation, with an outline of the various means for obtaining such resources, progress made in securing some resources, possible risks that would arise in the absence of necessary resources, and the means to mitigate such risks. It was noted that States should be informed of the list of required actions they need to undertake (e.g. national regulatory framework), with clear timelines for the implementation of CORSIA.

The Council affirmed that the Secretariat was requested to prioritize the allocation of resources for CORSIA-related activities and acknowledged the need for additional resources to undertake preparatory activities for CORSIA implementation, including those to cover additional staff and capacity-building activity in the regions.

The Council noted that costs to hire two additional officers for MBM-related registries and carbon markets would be covered by the voluntary contributions of the Netherlands and the 2016 carry-over budget, while recognizing the need to recruit three additional officers (for work related to environmental analysis, alternative fuels, State action plans) as soon as possible, either on a secondment basis or through voluntary funding. It also noted that costs arising for the holding of the 2017 regional seminars and other outreach activities, including development of brochures, training kits and practical guidelines/manuals, would be covered by the 2016 carry-over budget, while additional funding and/or other in-kind contributions would be needed to undertake regional seminars in 2018 and beyond.

Finally, the Council made a number of requests to the Secretary General seeking the contribution of additional resources and/or other in-kind contributions and the encouragement of Member States to build partnerships in cooperating on the implementation of CORSIA. The Council requested ICAO be kept informed of such partnerships, as well as a report on the status of the 2016 carry over budget and the contributions from States and partnerships among States, so that the Council could discuss any further actions to ensure sufficient resources for the purposes of ensuring the timely implementation of CORSIA. The Council also requested that the CAEP-GMTF provide periodic reports to the AGC and the Council on the progress being made regarding the development of CORSIA-related SARPs and guidance in order that appropriate guidance be provided on this area of activity, and that the Secretariat provide

a progress report at the 211th Session on the implications for States on the establishment and operationalization of CORSIA-related infrastructure.

The Council noted that the draft text for SARPs and guidance material would be finalized by the time of the next CAEP-GMTF meeting from 5 to 9 June 2017, for approval by the CAEP Steering Group meeting in September 2017

- **Report of ISPG – Review of issues relating to the *ICAO Civil Aviation Training Policy***

The Council considered an oral report presented by the Chairperson of the Implementation, Strategy and Planning Group (ISPG), recalling that during the 209th Session, the Council had requested the Secretariat to consider in the context of the *ICAO Civil Aviation Training Policy*, the issue of fees charged for training courses offered under partnership agreements concluded between ICAO and third parties and the generation of revenue to support organizational priorities through the Ancillary Revenue Generation Fund (ARGF), as well as the issue of standardizing the title, scope and evaluation of Civil Aviation Management Programmes jointly developed with ICAO under a partnership agreement.

It was noted that many of the activities of the GAT Office differ from other ICAO activities, since they operate on a full cost-recovery basis and that a pricing policy was currently being developed which would highlight the cost-recovery nature of GAT activities as well as include a pricing rationale, assumptions, price structure, benchmarking of ICAO training fees, provision for the establishment of a reserve fund and a scholarship system to be available for trainees from States with Effective Implementation (EI) rates below 60 per cent.

The Council noted the significant reduction in the fees for the ICAO-Concordia University Certificate course, and urged continued rigorous attention to fee levels, as well as expressed agreement with the conclusion reached by the ISPG that the forthcoming Pricing Policy should provide more transparency to training fees.

- **Request of the International Satellite System for Search and Rescue (International Cospas-Sarsat Programme) for inclusion in the *List of international organizations that may be invited to attend suitable ICAO meetings***

The Council considered C-WP/14571 indicating that the International Cospas-Sarsat Programme had requested to be included in the *List of international organizations that may be invited to attend suitable ICAO meetings* as observer. The Council approved the request.

- **Review of Assembly resolutions and decision – Legal subjects**

The Council considered C-WP/14572 presenting a report on actions arising from resolutions and decisions related to legal subjects, emanating from the Legal Commission of the 39th Session of the Assembly. The Council amended the proposed action relating to “Review of the Application of ICAO Treaties relating to Conflict Zones”, so that it would now read: “Pending reconsideration by the Council, when the work programme in other areas is completed in the fourth quarter of 2019”, and subject to the amendment, confirmed that the proposed actions outlined in the Appendix to C-WP/14572, effectively respond to the implementation of the resolutions and decisions of the 39th Session of the Assembly.

- **Report on access to top-selling publications**

The Council considered C-WP/14573 reporting on the impact of providing Member States with free access to up to 25 electronic copies of the five top-selling ICAO publications. It was noted that 80 per cent of Member States require 10 or less electronic copies of the top five-selling publications, and that only four States indicated they needed 25 copies of all top-selling publications. In terms of the financial effect of providing free copies to States, the initial impact on the revenues of the Ancillary Revenue Generation Fund (ARGF) would therefore be limited.

- **Amendment to the ICAO Publications Regulations**

The Council considered C-WP/14574, which summarized the amendments introduced to the ICAO Publications Regulations (Doc. 7231) as a result of the implementation of the ICAO Policy on Publications. It was noted that Administrative Instructions for the Implementation of the ICAO Policy on Publications were issued, which contained the guidelines and procedures for its implementation. Following consideration, the Council made a number of amendments to articles IX, XII and XIII.

- **Establishment of the Resource Mobilization Fund**

The Council considered C-WP/14591 concerning the establishment of the Resource Mobilization Fund (RMF) in accordance with the relevant Financial Regulations and Rules, in order to manage effectively the voluntary funds, to facilitate the prioritization of projects submitted for funding, to allocate efficiently and to disburse timely the funds received from Member States, donors and relevant stakeholders. It was recalled that the Council had previously approved the ICAO Resource Mobilization Policy (C-DEC 207/11 refers), adopted at the 39th Session of the Assembly, and that the objective was to achieve adequate, more predictable and sustainable voluntary contributions in order to realize the Organization's mission as detailed in the Business Plan to assist Member States by facilitating access to funding for the enhancement of their civil aviation systems.

In this regard, it was understood that the RMF would provide donors with a mechanism to contribute to the ICAO mandate and mission generally without earmarking their donation to a specific programme or strategic objective, allowing ICAO to direct the resources to the strategic objective or programme most needed.

A number of comments were made by the Secretariat, undertaking to ensure that comprehensive information would be provided in advance to Member States to clearly articulate the purpose and expectations of the Fund and that an appropriate review mechanism is in place by which donor States and international organization could monitor how the funds are being expended as well as the results being achieved from the activities that are carried out.

- **Annual Report of the Ethics Officer**

The Council considered C-WP/14575 outlining the activities undertaken by the Ethics Officer in the course of the previous year in the period since her appointment with the Organization on 14 April 2016. It emphasized the importance of a strong ethical focus within ICAO as well as unfettered two-way channels of communication existing between Council Representatives and staff members of the Secretariat.

The Council also agreed in-principle with the recommendation contained in paragraph 3.4 of C-WP/14575 (the feasibility of developing a Memorandum of Understanding (MoU) with the UN Office of Internal Oversight for a fee-for-service engagement of its investigators), but suggested that it would be preferable at this stage for ICAO to use existing internal resources as far as practicable. It also agreed in-principle with the recommendation contained in paragraph 3.5.4 of C-WP/14575 (for ICAO to consult with the UN Office of Staff Legal Assistance (OSLA) to explore the feasibility of a fee-for-service arrangement to provide legal assistance to staff members in the preparation of complaints/allegations and the preparation of appeals in cases of misconduct or potential misconduct), and suggested that the Ethics Officer review best practices within the UN system and then discuss this option further with the Human Resources Committee (HRC) with a view to exploring all possible cost-effective options that could meet this requirement while taking into account current budget implications and limitations.

- **2016 Report on the activities of the Evaluation and Internal Audit Office**

The Council considered C-WP/14577 which presented a summary report on the activities carried out by the Evaluation and Internal Audit Office (EAO) during 2016.

The Council noted that in relation to the audit that had been conducted on the governance of the Ancillary Generation Revenue Fund (ARGF), one recommendation had not been accepted by management, and in this regard, it further noted that the Finance Committee would review the report pertaining to this audit in the context of its scheduled assessment of the operating plan of the ARGF.

- **Report on the evaluation of selected programme activities of the South American Regional Office: ICAO's technical assistance to Uruguay**

The Council considered information paper C-WP/14576, in which the Secretary General presented part of an evaluation carried out by the Evaluation and Internal Audit Office (EAO) on selected programme activities of the South American Regional Office (SAM RO). This evaluation provided an independent assessment of the provision of ICAO's technical assistance to Uruguay as delivered by the South American Regional Office during the period from 2011 to 2016. The evaluation findings gave rise to five recommendations addressed to the ICAO Secretariat, which the Council took note of.

- **Review of the Evaluation and Internal Audit Office (EAO)**

The Council considered C-WP/14526 Revised, in which the Chair of the WGGE presented proposed changes to the EAO Charter. These changes were reviewed and agreed by the Evaluation and Audit Advisory Committee (EAAC). The Council approved the updated version of the Charter in Appendix B of C-WP/14526 Revised

- **Report of the JIU entitled "Analysis of the evaluation function in the United Nations system"**

The Council considered information paper C-WP/14589, in which the Secretary General presented the key findings of a report of a Joint Inspection Unit (JIU) system-wide study of the evolution, development and advancement of the evaluation function in the United Nations system conducted in 2013. The Council noted the eight recommendations addressed to ICAO.

- **Report of the Joint Inspection Unit (JIU) entitled “ Review of the organizational ombudsman services across the United Nations system”**

The Council noted information paper C-WP/14583, in which the Secretary General presented the recommendations to ICAO, based on the findings of a JUI report on the functioning of the ombudsman offices in the United Nations system organizations.

- **Review of the Terms of Reference of the Evaluation and Audit Advisory Committee (EAAC)**

The Council considered an oral report by the WGGE, in which it proposed amendments to the Terms of Reference of the EAAC, in particular on the roles and responsibilities of the Chair of EAAC; on the scope of EAAC's advice to include the Framework on Ethics and coordination of planning and coverage of topics between internal, external audit and evaluation; and on need to inform the Council of the EAAC's work-plan for the following year. The Council endorsed the recommendations made by the WGGE.

- **Appointment of Members of the Evaluation and Audit Advisory Committee (EAAC)**

The Council considered C-WP/14578, along with an oral report by the Finance Committee (FIC), on the procedure for the appointment of new members or reappointment of existing members to the Evaluation and Audit Advisory Committee (EAAC) for a term of three years beginning 1 September 2017. A State Letter is issued on call for nominations and the President of the Council will consider the nominations received and present a report and recommendation to the Council through FIC. Since the appointments will need to be made by the Council at its 211th Session, potential candidates will need to be identified before the end of April 2017.

- **Options to enhance succession planning in ICAO**

The Council noted C-WP/14579 which reported on the progress made to date in integrating succession planning with other over-arching talent management strategies and presented actions to further enhance succession planning in the Organization.

- **Alignment of the ICAO Policy on Secondment and the ICAO Service Code**

The Council considered C-WP/14590 and approved some consequential amendments to *The ICAO Service Code* are required for alignment purposes. An additional amendment to the *Policy* is also approved in order to further clarify its practical application.

- **ICAO Policy on Secondment – Cooling off period**

The Council considered C-WP/14580 which provided information on the use of the cooling-off period, including the background, rationale and past decisions taken in ICAO, as well as best practices of the United Nations, in order to enable the Human Resources Committee (HRC) to conduct a holistic review

and to present its final recommendation on this subject to the Council in respect of the *ICAO Policy on Secondments*. The Council approved the recommendation made by the HRC to maintain the existing provision for a six-month cooling-off period for seconded personnel, as currently specified in the *ICAO Policy on Secondment*.

- **Report of RHCC — Progress achieved by the Committee on Relations with the Host Country (RHCC)**

The Council noted the oral report by the RHCC on the progress made on pending issues regarding national delegates matters (electronic travel authorizations, visas, etc.) and the new Understanding of ICAO with Quebec.

- **Work Programmes of the Council and its Committees for the 211th Session**

The Council considered C-WP/14581 and approved its Work Programme, as well as the Work Programmes of its Committees, for the 211th Session. The next session will take place on 24 April – 12 May 2017 for the Committee phase and on 5 – 23 June 2017 for the Council phase.

- **Council working papers presented for information**

Council noted the information provided in the following information papers:

C-WP/14582 — *Financial situation of the Organization and Level of the Working Capital Fund (WCF)*

C-WP/14584 — *Report of the Joint Inspection Unit (JIU) entitled “Comprehensive review of United Nations system support for Small Island Developing States: Initial findings”*

C-WP/14585 — *Report of the JIU entitled “Public information and communications policies and practices in the United Nations system”*

C-WP/14586 — *Report of the JIU entitled “Review of activities and resources devoted to address climate change in the United Nations system organizations”*

C-WP/14587 — *Report of the JIU entitled “Evaluation of mainstreaming of full and productive employment and decent work by the United Nations system organizations – Summary Report”*

C-WP/14588 — *Report of the JIU entitled “Succession planning in the United Nations system organizations”*

- **Council President Certificates**

The Council had established the *Council President Certificates* in order to recognize Member States from each region that have made significant progress in resolving their safety oversight deficiencies and improving their rate of effective implementation (EI) of ICAO Standards and Recommended Practices (SARPs) in the context of the *No Country Left Behind* initiative, and the President indicated that the following thirteen States would be awarded a *Council President Certificate* for 2016: Bolivia (Plurinational State of), Egypt, El Salvador, Guyana, Honduras, Jamaica, Kazakhstan, Paraguay, The former Yugoslav Republic of Macedonia, Togo, Uruguay, Viet Nam, Zambia.